



Practitioner's Docket No. 13189.134

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sperschneider, Ralph; Dietz, Martin; Ehret, Andreas; Brandenburg, Karlheinz; Gerhaeuser, Heinz; Nowbakht-irani, Ali; Lauber, Pierre; and Bitto, Roland
 Application No.: 09/869,401 Group No.: Unknown
 Filed: June 27, 2001 Examiner: Unknown
 For: METHODS AND DEVICES FOR CODING OR DECODING AN AUDIO SIGNAL OR BIT STREAM

Box Missing Parts
 Assistant Commissioner for Patents
 Washington, DC 20231

COMPLETION OF FILING REQUIREMENTS - NONPROVISIONAL APPLICATION

- I. This replies to the Notification Of Missing Requirements mailed July 30, 2001. A copy of the Notification Of Missing Requirements is enclosed.

DECLARATION OR OATH

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

COMPLETION FEES

III. Surcharge Fees

Late payment of filing fee and/or late filing of original
 declaration or oath (37 C.F.R. Section 1.16(e)) \$130.00

Total Completion Fees \$130.00

09/13/2001 SNAJARRD 00000071 501848 09869401

01 FC:154 130.00 CH

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

- ☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231.

FACSIMILE

- ☐ transmitted by facsimile to the Patent and Trademark Office at (703) ____ - ____.

Elaine C. Von Spreckelsen
 Signature

Date: August 28, 2001

Elaine C. VonSpreckelsen
 (type or print name of person certifying)

Doc. 1866

EXTENSION OF TIME

- IV. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE

- V. The total fee due is:
- | | |
|------------------------|----------|
| Completion fees | \$130.00 |
| Extension fee (if any) | \$0.00 |
| Total Fee Due | \$130.00 |

PAYMENT OF FEES

- VI. Charge Account No. 50-1848 in the amount of \$130.00. A duplicate of this request is attached.
- Please charge Account No. 50-1848 for any fees that may be due by this paper.

AUTHORIZATION TO CHARGE ADDITIONAL FEES

- VII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 50-1848:
- 37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)
 - 37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims)
 - 37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
 - 37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))
 - 37 C.F.R. Section 1.17 (application processing fees)

Date: Aug 28, 2001

Reg. No.: 28,494
Tel. No.: 303-379-1114
Fax No.: 303-379-1155



Signature of Practitioner

Carl A. Forest
Customer No.: 24283



UNITED STATES PATENT AND TRADEMARK OFFICE

AUG - 3 2000

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/869401	SPERSCHNEIDER	R 13189.134
INTERNATIONAL APPLICATION NO.		
PCT/EP98/08475		
I.A. FILING DATE		PRIORITY DATE
28 DEC 98		28 DEC 98
DATE MAILED: 30 JUL 2001		

PATTON BOGGS
PO BOX 270930
LOUISVILLE, CO 80027

Due Date: 30 Aug 01
Statutory Deadline: 30 Jan 02
Date Docketed: 8/7/01
Docketed by: [initials]
Sec: ECV [initials]
Atty: CAF

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):
- ☒ U.S. Basic National Fee.
 - ☒ Copy of the international application.
 - ☐ Oath or Declaration of inventors(s).
 - ☐ Copy of Article 19 amendments.
 - ☒ Priority Document.
 - ☒ The International Preliminary Examination Report in English and its Annexes, if any.
 - ☐ Translation of Annexes to the International Preliminary Examination Report into English.
 - ☐ Indication of Small Entity Status.
 - ☒ Translation of the international application into English.
 - ☐ Translation of Article 19 amendments into English.
 - ☐ Other:
2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.
- ☐ U.S. Basic National Fee.
 - ☐ Copy of the international application.
3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
 - ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
 - ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the international application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
 - ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
4. Additional claim fees of \$_____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.
5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

- Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation
☐ PTO-875 ☐ PCT/DO/EO/920

Barbara A. Campbell